

REC'D  
MAR 11 2002  
ER 16002805

## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/902,572  
Source: 1642  
Date Processed by STIC: 2-15-2002

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

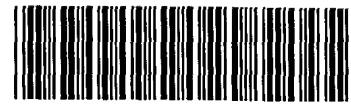
<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>), EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:  
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202  
Or  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202



1642

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/902,572

DATE: 02/15/2002  
TIME: 09:30:11

Input Set : F:\sequence listing.txt  
Output Set: N:\CRF3\02152002\I902572.raw

**Does Not Comply  
Corrected Diskette Needed**

3 <110> APPLICANT: Genentech, Inc.  
4       Ashkenazi, Avi  
5       Botstein, David  
6       Desnoyers, Luc  
7       Eaton, Dan L.  
8       Ferrara, Napoleone  
9       Filvaroff, Ellen  
10      Fong, Sherman  
11      Gao, Wei-Qiang  
12      Gerber, Hanspeter  
13      Gerritsen, Mary E.  
14      Goddard, A.  
15      Godowski, Paul J.  
16      Grimaldi, Christopher J.  
17      Gurney, Austin L.  
18      Hillan, Kenneth, J.  
19      Kljavin, Ivar J.  
20      Mather, Jennie P.  
21      Pan, James  
22      Paoni, Nicholas F.  
23      Roy, Margaret Ann  
24      Stewart, Timothy A.  
25      Tumas, Daniel  
26      Williams, P. Mickey  
27      Wood, William, I.  
29 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic  
30       Acids Encoding the Same  
32 <130> FILE REFERENCE: 10466-14  
C--> 34 <140> CURRENT APPLICATION NUMBER: US/09/902,572  
C--> 35 <141> CURRENT FILING DATE: 2001-07-10  
37 <150> PRIOR APPLICATION NUMBER: PCT/US00/04414  
38 <151> PRIOR FILING DATE: 2000-02-22  
40 <150> PRIOR APPLICATION NUMBER: US 60/143,048  
41 <151> PRIOR FILING DATE: 1999-07-07  
43 <150> PRIOR APPLICATION NUMBER: US 60/145,698  
44 <151> PRIOR FILING DATE: 1999-07-26  
46 <150> PRIOR APPLICATION NUMBER: US 60/146,222  
47 <151> PRIOR FILING DATE: 1999-07-28  
49 <150> PRIOR APPLICATION NUMBER: PCT/US99/20594  
50 <151> PRIOR FILING DATE: 1999-09-08  
52 <150> PRIOR APPLICATION NUMBER: PCT/US99/20944  
53 <151> PRIOR FILING DATE: 1999-09-13  
55 <150> PRIOR APPLICATION NUMBER: PCT/US99/21090

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/902,572

DATE: 02/15/2002  
TIME: 09:30:11

Input Set : F:\sequence listing.txt  
Output Set: N:\CRF3\02152002\I902572.raw

56 <151> PRIOR FILING DATE: 1999-09-15  
58 <150> PRIOR APPLICATION NUMBER: PCT/US99/21547  
59 <151> PRIOR FILING DATE: 1999-09-15  
61 <150> PRIOR APPLICATION NUMBER: PCT/US99/23089  
62 <151> PRIOR FILING DATE: 1999-10-05  
64 <150> PRIOR APPLICATION NUMBER: PCT/US99/28214  
65 <151> PRIOR FILING DATE: 1999-11-29  
67 <150> PRIOR APPLICATION NUMBER: PCT/US99/28313  
68 <151> PRIOR FILING DATE: 1999-11-30  
70 <150> PRIOR APPLICATION NUMBER: PCT/US99/28564  
71 <151> PRIOR FILING DATE: 1999-12-02  
73 <150> PRIOR APPLICATION NUMBER: PCT/US99/28565  
74 <151> PRIOR FILING DATE: 1999-12-02  
76 <150> PRIOR APPLICATION NUMBER: PCT/US99/30095  
77 <151> PRIOR FILING DATE: 1999-12-16  
79 <150> PRIOR APPLICATION NUMBER: PCT/US99/30911  
80 <151> PRIOR FILING DATE: 1999-12-20  
82 <150> PRIOR APPLICATION NUMBER: PCT/US99/30999  
83 <151> PRIOR FILING DATE: 1999-12-20  
84 <150> PRIOR APPLICATION NUMBER: PCT/US00/00219  
85 <151> PRIOR FILING DATE: 2000-01-05  
87 <160> NUMBER OF SEQ ID NOS: 423

## ERRORED SEQUENCES

5293 <210> SEQ ID NO: 173  
5294 <211> LENGTH: 43  
5295 <212> TYPE: DNA  
5296 <213> ORGANISM: Artificial Sequence  
5298 <220> FEATURE:  
5299 <223> OTHER INFORMATION: Description of Artificial Sequence: Synthetic  
5300 oligonucleotide probe  
5302 <400> SEQUENCE: 173  
E--> 5303 ggactcactg gcccaggcct tcaatatcac cagccaggac gat

Numbers must  
match

42

VERIFICATION SUMMARY  
PATENT APPLICATION: US/09/902,572

DATE: 02/15/2002  
TIME: 09:30:14

Input Set : F:\sequence listing.txt  
Output Set: N:\CRF3\02152002\I902572.raw

L:34 M:270 C: Current Application Number differs, Replaced Current Application Number  
L:35 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:511 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:512 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:513 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:514 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:769 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:26  
L:1701 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:50  
L:3586 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:113  
L:4040 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:131  
L:5303 M:254 E: No. of Bases conflict, LENGTH:Input:42 Counted:43 SEQ:173  
L:5344 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:174  
L:5479 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:175  
L:6540 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:206

Use of n and / or Xaa has been detected in the  
Sequence Listing. Review the Sequence Listing  
to ensure a corresponding explanation is present  
in the <220> to <223> fields of each sequence  
using n or Xaa.